

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

January 29, 2021



Reference: **FR2021-0111-0003/E** Org Id: 22333

Link Reference: FR2020-1102-0009

1. Riskalyze Stats Comparison

Rules: FIN 2210, FIN 2214, SEC34B1

13 Pages Total Fee: \$155

The material submitted generally appears consistent with applicable standards. However, we note the following comments:

This review pertains only to the material uploaded on January 29, 2021 as supporting documentation pursuant to your letter dated January 29, 2021.

Reviewed by,

Meredith B. Mesa Meadows Associate Principal Analyst

mbm

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.



NOTE: We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.