

## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

January 21, 2021



Reference: **FR2021-0111-0004/E** Org Id: 22333

Link Reference: FR2020-1102-0010

1. Riskalyze Stats Overview

Rules: FIN 2210, FIN 2214, SEC34B1

19 Pages Total Fee: \$215

The material submitted generally appears consistent with applicable standards. However, we note the following comments:

This review pertains only to the material uploaded on January 20, 2021.

Reviewed by,

Meredith B. Mesa Meadows Associate Principal Analyst



**NOTE:** We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.